## Asceticism and the Spirit of Capitalism

## MAX WEBER

In the title of this study is used the somewhat pretentious phrase, the *spirit* of capitalism. What is to be understood by it? The attempt to give anything like a definition of it brings out certain difficulties which are in the very nature of this type of investigation.

If any object can be found to which this term can be applied with any understandable meaning, it can only be an historical individual, i.e. a complex of elements associated in historical reality which we unite into a conceptual whole from the standpoint of their cultural significance.

Such an historical concept, however, since it refers in its content to a phenomenon significant for its unique individuality, cannot be defined according to the formula genus proximum, differentia specifica, but it must be gradually put together out of the individual parts which are taken from historical reality, to make it up. Thus the final and definitive concept cannot stand at the beginning of the investigation, but must come at the end. We must, in other words, work out in the course of the discussion, as its most important result, the best conceptual formulation of what we here understand by the spirit of capitalism, that is the best from the point of view which interests us here. This point of view (the one of which we shall speak later) is, further, by no means the only possible one from which the historical phenomena we are investigating can be analysed. Other standpoints would, for this as for every historical phenomenon, yield other characteristics as the essential ones. The result is that it is by no means necessary to understand by the spirit of capitalism only what it will come to mean to us for the purposes of our analysis. This is a necessary result of the nature of historical concepts which attempt for their methodological purposes not to grasp historical reality in abstract general formulæ, but in concrete genetic sets of relations which are inevitably of a specifically unique and individual character.1

Thus, if we try to determine the object, the analysis and historical explanation of which we are

attempting, it cannot be in the form of a conceptual definition, but at least in the beginning only a provisional description of what is here meant by the spirit of capitalism. Such a description is, however, indispensable in order clearly to understand the object of the investigation. For this purpose we turn to a document of that spirit which contains what we are looking for in almost classical purity, and at the same time has the advantage of being free from all direct relationship to religion, being thus, for our purposes, free of preconceptions.

"Remember, that *time* is money. He that can earn ten shillings a day by his labour, and goes abroad, or sits idle, one half of that day, though he spends but sixpence during his diversion or idleness, ought not to reckon *that* the only expense; he has really spent, or rather thrown away, five shillings besides."

"Remember, that *credit* is money. If a man lets his money lie in my hands after it is due, he gives me the interest, or so much as I can make of it during that time. This amounts to a considerable sum where a man has good and large credit, and makes good use of it."

"Remember, that money is of the prolific, generating nature. Money can beget money, and its off-spring can beget more, and so on. Five shillings turned is six, turned again it is seven and three-pence, and so on, till it becomes a hundred pounds. The more there is of it, the more it produces every turning, so that the profits rise quicker and quicker. He that kills a breeding-sow, destroys all her off-spring to the thousandth generation. He that murders a crown, destroys all that it might have produced, even scores of pounds."

"Remember this saying, *The good paymaster is lord of another man's purse*. He that is known to pay punctually and exactly to the time he promises, may at any time, and on any occasion, raise all the money his friends can spare. This is sometimes of great use. After industry and frugality, nothing contributes more to the raising of a young man in the

<sup>&</sup>quot;Asceticism and the Spirit of Capitalism," by Max Weber, reprinted from *The Protestant Ethic and the Spirit of Capitalism*, Roxbury Publishing Company, pp. 166–174, 180–183. Copyright © 1996 Roxbury Publishing Company

world than punctuality and justice in all his dealings; therefore never keep borrowed money an hour beyond the time you promised, lest a disappointment shut up your friend's purse for ever."

"The most trifling actions that affect a man's credit are to be regarded. The sound of your hammer at five in the morning, or eight at night, heard by a creditor, makes him easy six months longer; but if he sees you at a billiard-table, or hears your voice at a tavern, when you should be at work, he sends for his money the next day; demands it, before he can receive it, in a lump."

"It shows, besides, that you are mindful of what you owe; it makes you appear a careful as well as an honest man, and that still increases your credit."

"Beware of thinking all you own that you possess, and of living accordingly. It is a mistake that many people who have credit fall into. To prevent this, keep an exact account for some time both of your expenses and your income. If you take the pains at first to mention particulars, it will have this good effect: you will discover how wonderfully small, trifling expenses mount up to large sums, and will discern what might have been, and may for the future be saved, without occasioning any great inconvenience."

"For six pounds a year you may have the use of one hundred pounds, provided you are a man of known prudence and honesty."

"He that spends a groat a day idly, spends idly above six pounds a year, which is the price for the use of one hundred pounds."

"He that wastes idly a groat's worth of his time per day, one day with another, wastes the privilege of using one hundred pounds each day."

"He that idly loses five shillings' worth of time, loses five shillings, and might as prudently throw five shillings into the sea."

"He that loses five shillings, not only loses that sum, but all the advantage that might be made by turning it in dealing, which by the time that a young man becomes old, will amount to a considerable sum of money."<sup>2</sup>

It is Benjamin Franklin who preaches to us in these sentences, the same which Ferdinand Kürnberger satirizes in his clever and malicious *Picture of American Culture*<sup>3</sup> as the supposed confession of faith of the Yankee. That it is the spirit of capitalism which here speaks in characteristic fash-

ion, no one will doubt, however little we may wish to claim that everything which could be understood as pertaining to that spirit is contained in it. Let us pause a moment to consider this passage, the philosophy of which Kürnberger sums up in the words, "They make tallow out of cattle and money out of men." The peculiarity of this philosophy of avarice appears to be the ideal of the honest man of recognized credit, and above all the idea of a duty of the individual toward the increase of his capital, which is assumed as an end in itself. Truly what is here preached is not simply a means of making one's way in the world, but a peculiar ethic. The infraction of its rules is treated not as foolishness but as forgetfulness of duty. That is the essence of the matter. It is not mere business astuteness, that sort of thing is common enough, it is an ethos. This is the quality which interests us.

Let us now try to clarify the points in which the Puritan idea of the calling and the premium it placed upon ascetic conduct was bound directly to influence the development of a capitalistic way of life. As we have seen, this asceticism turned with all its force against one thing: the spontaneous enjoyment of life and all it had to offer. This is perhaps most characteristically brought out in the struggle over the Book of Sports4 which James I and Charles I made into law expressly as a means of counteracting Puritanism, and which the latter ordered to be read from all the pulpits. The fanatical opposition of the Puritans to the ordinances of the King, permitting certain popular amusements on Sunday outside of Church hours by law, was not only explained by the disturbance of the Sabbath rest, but also by resentment against the intentional diversion from the ordered life of the saint, which it caused. And, on his side, the King's threats of severe punishment for every attack on the legality of those sports were motivated by his purpose of breaking the antiauthoritarian ascetic tendency of Puritanism, which was so dangerous to the State. The feudal and monarchical forces protected the pleasure seekers against the rising middle-class morality and the anti-authoritarian ascetic conventicles, just as to-day capitalistic society tends to protect those willing to work against the class morality of the proletariat and the anti-authoritarian trade union.

As against this the Puritans upheld their decisive characteristic, the principle of ascetic conduct. For otherwise the Puritan aversion to sport, even for the Quakers, was by no means simply one of principle. Sport was accepted if it served

a rational purpose, that of recreation necessary for physical efficiency. But as a means for the spontaneous expression of undisciplined impulses, it was under suspicion; and in so far as it became purely a means of enjoyment, or awakened pride, raw instincts or the irrational gambling instinct, it was of course strictly condemned. Impulsive enjoyment of life, which leads away both from work in a calling and from religion, was as such the enemy of rational asceticism, whether in the form of seigneurial sports, or the enjoyment of the dance-hall or the publichouse of the common man.<sup>5</sup>

Its attitude was thus suspicious and often hostile to the aspects of culture without any immediate religious value. It is not, however, true that the ideals of Puritanism implied a solemn, narrowminded contempt of culture. Quite the contrary is the case at least for science, with the exception of the hatred of Scholasticism. Moreover, the great men of the Puritan movement were thoroughly steeped in the culture of the Renaissance. The sermons of the Presbyterian divines abound with classical allusions,6 and even the Radicals, although they objected to it, were not ashamed to display that kind of learning in theological polemics. Perhaps no country was ever so full of graduates as New England in the first generation of its existence. The satire of their opponents, such as, for instance, Butler's *Hudibras*, also attacks primarily the pedantry and highly trained dialectics of the Puritans. This is partially due to the religious valuation of knowledge which followed from their attitude to the Catholic fides implicita.

But the situation is quite different when one looks at non-scientific literature, 7 and especially the fine arts. Here asceticism descended like a frost on the life of "Merrie old England." And not only worldly merriment felt its effect. The Puritan's ferocious hatred of everything which smacked of superstition, of all survivals of magical or sacramental salvation, applied to the Christmas festivities and the May Pole<sup>8</sup> and all spontaneous religious art. That there was room in Holland for a great, often uncouthly realistic art9 proves only how far from completely the authoritarian moral discipline of that country was able to counteract the influence of the court and the regents (a class of rentiers), and also the joy in life of the parvenu bourgeoisie, after the short supremacy of the Calvinistic theocracy had been transformed into a moderate national Church, and with it Calvinism had perceptibly lost in its power of ascetic influence. 10

The theatre was obnoxious to the Puritans, 11 and with the strict exclusion of the erotic and of nudity from the realm of toleration, a radical view of either literature or art could not exist. The conceptions of idle talk, of superfluities, 12 and of vain ostentation, all designations of an irrational attitude without objective purpose, thus not ascetic, and especially not serving the glory of God, but of man, were always at hand to serve in deciding in favour of sober utility as against any artistic tendencies. This was especially true in the case of decoration of the person, for instance clothing. 13 That powerful tendency toward uniformity of life, which to-day so immensely aids the capitalistic interest in the standardization of production<sup>14</sup> had its ideal foundations in the repudiation of all idolatry of the flesh. 15

Of course we must not forget that Puritanism included a world of contradictions, and that the instinctive sense of eternal greatness in art was certainly stronger among its leaders than in the atmosphere of the Cavaliers. 16 Moreover, a unique genius like Rembrandt, however little his conduct may have been acceptable to God in the eyes of the Puritans, was very strongly influenced in the character of his work by his religious environment.<sup>17</sup> But that does not alter the picture as a whole. In so far as the development of the Puritan tradition could, and in part did, lead to a powerful spiritualization of personality, it was a decided benefit to literature. But for the most part that benefit only accrued to later generations.

Although we cannot here enter upon a discussion of the influence of Puritanism in all these directions, we should call attention to the fact that the toleration of pleasure in cultural goods, which contributed to purely aesthetic or athletic enjoyment, certainly always ran up against one characteristic limitation: they must not cost anything. Man is only a trustee of the goods which have come to him through God's grace. He must, like the servant in the parable, give an account of every penny entrusted to him, 18 and it is at least hazardous to spend any of it for a purpose which does not serve the glory of God but only one's own enjoyment. 19 What person, who keeps his eyes open, has not met representatives of this view-point even in the present?<sup>20</sup> The idea of a man's duty to his possessions, to which he subordinates himself as an obedient steward, or even as an acquisitive machine, bears with chilling weight on his life. The greater the possessions the heavier, if the ascetic attitude toward life stands the test, the feeling of responsibility for them, for holding them undiminished for the glory of God and

increasing them by restless effort. The origin of this type of life also extends in certain roots, like so many aspects of the spirit of capitalism, back into the Middle Ages.<sup>21</sup> But it was in the ethic of ascetic Protestantism that it first found a consistent ethical foundation. Its significance for the development of capitalism is obvious.<sup>22</sup>

This worldly Protestant asceticism, as we may recapitulate up to this point, acted powerfully against the spontaneous enjoyment of possessions; it restricted consumption, especially of luxuries. On the other hand, it had the psychological effect of freeing the acquisition of goods from the inhibitions of traditionalistic ethics. It broke the bonds of the impulse of acquisition in that it not only legalized it, but (in the sense discussed) looked upon it as directly willed by God. The campaign against the temptations of the flesh, and the dependence on external things, was, as besides the Puritans the great Quaker apologist Barclay expressly says, not a struggle against the rational acquisition, but against the irrational use of wealth.

But this irrational use was exemplified in the outward forms of luxury which their code condemned as idolatry of the flesh,23 however natural they had appeared to the feudal mind. On the other hand, they approved the rational and utilitarian uses of wealth which were willed by God for the needs of the individual and the community. They did not wish to impose mortification<sup>24</sup> on the man of wealth, but the use of his means for necessary and practical things. The idea of comfort characteristically limits the extent of ethically permissible expenditures. It is naturally no accident that the development of a manner of living consistent with that idea may be observed earliest and most clearly among the most consistent representatives of this whole attitude toward life. Over against the glitter and ostentation of feudal magnificence which, resting on an unsound economic basis, prefers a sordid elegance to a sober simplicity, they set the clean and solid comfort of the middle-class home as an ideal.<sup>25</sup>

On the side of the production of private wealth, asceticism condemned both dishonesty and impulsive avarice. What was condemned as covetousness, Mammonism, etc., was the pursuit of riches for their own sake. For wealth in itself was a temptation. But here asceticism was the power "which ever seeks the good but ever creates evil" what was evil in its sense was possession and its temptations. For, in conformity with the Old Testament and in analogy

to the ethical valuation of good works, asceticism looked upon the pursuit of wealth as an end in itself as highly reprehensible; but the attainment of it as a fruit of labour in a calling was a sign of God's blessing. And even more important: the religious valuation of restless, continuous, systematic work in a worldly calling, as the highest means to asceticism, and at the same time the surest and most evident proof of rebirth and genuine faith, must have been the most powerful conceivable lever for the expansion of that attitude toward life which we have here called the spirit of capitalism.<sup>27</sup>

When the limitation of consumption is combined with this release of acquisitive activity, the inevitable practical result is obvious: accumulation of capital through ascetic compulsion to save.<sup>28</sup> The restraints which were imposed upon the consumption of wealth naturally served to increase it by making possible the productive investment of capital. How strong this influence was is not, unfortunately, susceptible of exact statistical demonstration. In New England the connection is so evident that it did not escape the eye of so discerning a historian as Doyle.<sup>29</sup> But also in Holland, which was really only dominated by strict Calvinism for seven years, the greater simplicity of life in the more seriously religious circles, in combination with great wealth, led to an excessive propensity to accumulations.30

That, furthermore, the tendency which has existed everywhere and at all times, being quite strong in Germany to-day, for middle-class fortunes to be absorbed into the nobility, was necessarily checked by the Puritan antipathy to the feudal way of life, is evident. English Mercantilist writers of the seventeenth century attributed the superiority of Dutch capital to English to the circumstance that newly acquired wealth there did not regularly seek investment in land. Also, since it is not simply a question of the purchase of land, it did not there seek to transfer itself to feudal habits of life, and thereby to remove itself from the possibility of capitalistic investments.31 The high esteem for agriculture as a peculiarly important branch of activity, also especially consistent with piety, which the Puritans shared, applied (for instance in Baxter) not to the landlord, but to the yeoman and farmer, in the eighteenth century not to the squire, but the rational cultivators.<sup>32</sup> Through the whole of English society in the time since the seventeenth century goes the conflict between the squirearchy, the representatives of "merrie old England," and the Puritan circles of widely varying social influence.33 Both elements, that of an unspoiled naive joy of life, and of a strictly regulated, reserved self-control, and conventional ethical conduct are even today combined to form the English national character.<sup>34</sup> Similarly, the early history of the North American Colonies is dominated by the sharp contrast of the adventurers, who wanted to set up plantations with the labour of indentured servants, and live as, feudal lords, and the specifically middle-class outlook of the Puritans.35

As far as the influence of the Puritan outlook extended, under all circumstances—and this is, of course, much more important than the mere encouragement of capital accumulation—it favoured the development of a rational bourgeois economic life; it was the most important, and above all the only consistent influence in the development of that life. It stood at the cradle of the modern economic man.

One of the fundamental elements of the spirit of modern capitalism, and not only of that but of all modern culture, rational conduct on the basis of the idea of the calling, was born—that is what this discussion has sought to demonstrate—from the spirit of Christian asceticism. One has only to re-read the passage from Franklin, quoted at the beginning of this essay, in order to see that the essential elements of the attitude which was there called the spirit of capitalism are the same as what we have just shown to be the content of the Puritan worldly asceticism,<sup>36</sup> only without the religious basis, which by Franklin's time had died away. The idea that modern labour has an ascetic character is of course not new. Limitation to specialized work, with a renunciation of the Faustian universality of man which it involves, is a condition of any valuable work in the modern world; hence deeds and renunciation inevitably condition each other today This fundamentally ascetic trait of middle-class life, if it attempts to be a way of life at all, and not simply the absence of any, was what Goethe wanted to teach, at the height of his wisdom, in the Wander-jahren, and in the end which he gave to the life of his Faust.37 For him the realization meant a renunciation, a departure from an age of full and beautiful humanity, which can no more be repeated in the course of our cultural development than can the flower of the Athenian culture of antiquity.

The Puritan wanted to work in a calling; we are forced to do so. For when asceticism was carried out of monastic cells into everyday life, and began to dominate worldly morality, it did its part in building the tremendous cosmos of the modern economic order. This order is now bound to the technical and economic conditions of machine production which to-day determine the lives of all the individuals who are born into this mechanism, not only those directly concerned with economic acquisition, with irresistible force. Perhaps it will so determine them until the last ton of fossilized coal is burnt. In Baxter's view the care for external goods should only lie on the shoulders of the "saint like a light cloak, which can be thrown aside at any moment."38 But fate decreed that the cloak should become an iron cage.

Since asceticism undertook to remodel the world and to work out its ideals in the world, material goods have gained an increasing and finally an inexorable power over the lives of men as at no previous period in history. To-day the spirit of religious asceticism—whither finally, who knows?—has escaped from the cage. But victorious capitalism, since it rests on mechanical foundations, needs its support no longer. The rosy blush of its laughing heir, the Enlightenment, seems also to be irretrievably fading, and the idea of duty in one's calling prowls about in our lives like the ghost of dead religious beliefs. Where the fulfillment of the calling cannot directly be related to the highest spiritual and cultural values, or when, on the other hand, it need not be felt simply as economic compulsion, the individual generally abandons the attempt to justify it at all. In the field of its highest development, in the United States, the pursuit of wealth, stripped of its religious and ethical meaning, tends to become associated with purely mundane passions, which often actually give it the character of sport.<sup>39</sup>

No one knows who will live in this cage in the future, or whether at the end of this tremendous development entirely new prophets will arise, or there will be a great rebirth of old ideas and ideals, or, if neither, mechanized petrification, embellished with a sort of convulsive self-importance. For of the last stage of this cultural development, it might well be truly said: "Specialists without spirit, sensualists without heart; this nullity imagines that it has attained a level of civilization never before achieved."

But this brings us to the world of judgments of value and of faith, with which this purely historical discussion need not be burdened. The next task would be rather to show the significance of ascetic rationalism, which has only been touched in the foregoing sketch, for the content of practical social ethics, thus for the types of organization and the

functions of social groups from the conventicle to the State. Then its relations to humanistic rationalism, <sup>40</sup> its ideals of life and cultural influence; further to the development of philosophical and scientific empiricism, to technical development and to spiritual ideals would have to be analysed. Then its historical development from the mediæval beginnings of worldly asceticism to its dissolution into pure utilitarianism would have to be traced out through all the areas of ascetic religion. Only then could the quantitative cultural significance of ascetic Protestantism in its relation to the other plastic elements of modern culture be estimated.

Here we have only attempted to trace the fact and the direction of its influence to their motives in one, though a very important point. But it would also further be necessary to investigate how Protestant Asceticism was in turn influenced in its development and its character by the totality of social conditions, especially economic.<sup>41</sup> The modern man is in general, even with the best will. unable to give religious ideas a significance for culture and national character which they deserve. But it is, of course, not my aim to substitute for a onesided materialistic interpretation an equally onesided spiritualistic causal interpretation of culture and of history. Each is equally possible, 42 but each, if it does not serve as the preparation, but as the conclusion of an investigation, accomplishes equally little in the interest of historical truth.43

## **Endnotes**

1. These passages represent a very brief summary of some aspects of Weber's methodological views. At about the same time that he wrote this essay he was engaged in a thorough criticism and revaluation of the methods of the Social Sciences, the result of which was a point of view in many ways different from the prevailing one, especially outside of Germany. In order thoroughly to understand the significance of this essay in its wider bearings on Weber's sociological work as a whole it is necessary to know what his methodological aims were. Most of his writings on this subject have been assembled since his death (in 1920) in the volume Gesammelte *Aufsätze* z.ur Wissenschaftslehre. A shorter exposition of the main position is contained in the opening chapters of Wirtschaft und Gesellschaft, Grundriss der Sozialölzonomik, III. —Translator's Note.

- 2. The final passage is from *Necessary Hints to Those That Would Be Rich* (written 1736, *Works*, Sparks edition, 11, p. 80), the rest from *Advice to a Young Tradesman* (written 1748, Sparks edition, II, pp. 87 ff.). The italics in the text are Franklin's.
- 3. Der Amerikamüde (Frankfurt, 1855), well known to be an imaginative paraphrase of Lenau's impressions of America. As a work of art the book would to-day be somewhat difficult to enjoy, but it is incomparable as a document of the (now long since blurred over) differences between the German and the American outlook, one may even say of the type of spiritual life which, in spite of everything, has remained common to all Germans, Catholic and Protestant alike, since the German mysticism of the Middle Ages, as against the Puritan capitalistic valuation of action.
- 4. Printed in Gardiner's *Constitutional Documents*. One may compare this struggle against anti-authoritarian asceticism with Louis XIV's persecution of Port Royal and the Jansenists.
- 5. Calvin's own standpoint was in this respect distinctly less drastic, at least in so far as the finer aristocratic forms of the enjoyment of life were concerned. The only limitation is the Bible. Whoever adheres to it and has a good conscience, need not observe his every impulse to enjoy life with anxiety. The discussion in Chapter X of the Instit. Christ (for instance, "nec fugere ea quoque possumus quæ videntur oblectatione magis quam necessitate inservire") might in itself have opened the way to a very lax practice. Along with increasing anxiety over the certitudo salutis the most important circumstance for the later disciples was, however, as we shall point out in another place, that in the era of the ecclesia militans it was the small bourgeoisie who were the principal representatives of Calvinistic ethics.
- 6. Thomas Adams (*Works of the Puritan Divines*, p. 3) begins a sermon on the "three divine sisters" ("but love is the greatest of these") with the remark that even Paris gave the golden apple to Aphrodite!
- 7. Novels and the like should not be read; they are "wastetimes" (Baxter, *Christian Directory*, I, p. 51). The decline of lyric poetry and folk-music, as well as the drama, after

the Elizabethan age in England is well known. In the pictorial arts Puritanism perhaps did not find very much to suppress. But very striking is the decline from what seemed to be a promising musical beginning (England's part in the history of music was by no means unimportant) to that absolute musical vacuum which we find typical of the Anglo-Saxon peoples later and even to-day. Except for the negro churches, and the professional singers whom the Churches now engage as attractions (Trinity Church in Boston in 1904 for \$8.00 annually), in America one also hears as community singing in general only a noise which is intolerable to German ears (partly analogous things in Holland also).

- 8. Just the same in Holland, as the reports of the Synods show. (See the resolutions on the Maypole in the Reitmans Collection, VI, 78, 139.)
- 9. That the "Renaissance of the Old Testament" and the Pietistic orientation to certain Christian attitudes hostile to beauty in art, which in the last analysis go back to Isaiah and the 22nd Psalm, must have contributed to making ugliness more of a possible object for art, and that the Puritan repudiation of idolatry of the flesh played a part, seems likely. But in detail everything seems uncertain. In the Roman Church quite different demagogic motives led to outwardly similar effects, but, however, with quite different artistic results. Standing before Rembrandt's Saul and David (in the Mauritshuis), one seems directly to feel the powerful influence of Puritan emotions. The excellent analysis of Dutch cultural influences in Carl Neumann's Rembrandt probably gives everything that for the time being we can know about how far ascetic Protestantism may be credited with a positive fructifying influence on art.
- 10. The most complex causes, into which we cannot go here, were responsible for the relatively smaller extent to which the Calvinistic ethic penetrated practical life there. The ascetic spirit began to weaken in Holland as early as the beginning of the seventeenth century (the English Congregationalists who fled to Holland in 1608 were disturbed by the lack of respect for the Sabbath there), but especially under the Stadtholder Frederick Henry. Moreover,

Dutch Puritanism had in general much less expansive power than English. The reasons for it lay in part in the political constitution (particularistic confederation of towns and provinces) and in the far smaller degree of military force (the War of Independence was soon fought principally with the money of Amsterdam and mercenary armies. English preachers illustrated the Babylonian confusion of tongues by reference to the Dutch Army). Thus the burden of the war of religion was to a large extent passed on to others, but at the same time a part of their political power was lost. On the other hand, Cromwell's army, even though it was partly conscripted, felt that it was an army of citizens. It was, to be sure, all the more characteristic that just this army adopted the abolition of conscription in its programme, because one could fight justly only for the glory of God in a cause hallowed by conscience, but not at the whim of a sovereign. The constitution of the British Army, so immoral to traditional German ideas, had its historical origin in very moral motives, and was an attainment of soldiers who had never been beaten. Only after the Restoration was it placed in the service of the interests of the Crown.

The Dutch schutterijen, the champions of Calvinism in the period of the Great War, only half a generation after the Synod of Dordrecht, do not look in the least ascetic in the pictures of Hals. Protests of the Synods against their conduct occur frequently. The Dutch concept of Deftigkeit is a mixture of bourgeois-rational honesty and patrician consciousness of status. The division of church pews according to classes in the Dutch churches shows the aristocratic character of this religion even to-day. The continuance of the town economy hampered industry. It prospered almost alone through refugees, and hence only sporadically. Nevertheless, the worldly asceticism of Calvinism and Pietism was an important influence in Holland in the same direction as elsewhere....

Moreover, the almost complete lack of belles lettres in Calvinistic Holland is of course no accident (see for instance Busken-Huet, Het Land van Rembrandt,). The significance of Dutch religion as ascetic compulsion to save appears clearly even in the eighteenth centu-

- ry in the writings of Albertus Haller. For the characteristic peculiarities of the Dutch attitude toward art and its motives, compare for example the autobiographical remarks of Constantine Huyghens (written in 1629–31) in *Oud Holland*, 1891. The work of Groen van Prinsterer, *La Hollande et l'influence de Calvin*, 1864, already referred to, offers nothing important for our problems. The New Netherlands colony in America was socially a half-feudal settlement of *patroons*, merchants who advanced capital, and, unlike New England, it was difficult to persuade small people to settle there.
- 11. We may recall that the Puritan town government closed the theatre at Stratford-on-Avon while Shakespeare was still alive and residing there in his last years. Shakespeare's hatred and contempt of the Puritans appear on every occasion. As late as 1777 the City of Birmingham refused to license a theatre because it was conducive to slothfulness, and hence unfavourable to trade (Ashley, *Birmingham Trade arid Commerce*, 1913).
- 12. Here also it was of decisive importance that for the Puritan there was only the alternative of divine will or earthly vanity. Hence for him there could be no *adiaphora*. As we have already pointed out, Calvin's own view was different in this respect. What one eats, wears, etc., as long as there is no enslavement of the soul to earthly desire as a result, is indifferent. Freedom from the world should be expressed, as for the Jesuits, in indifference, which for Calvin meant an indifferent, uncovetous use of whatever goods the earth offered (pp. 409 ff. of the original edition of the *Instit. Christ*).
- 13. The Quaker attitude in this respect is well known. But as early as the beginning of the seventeenth century the heaviest storms shook the pious congregation of exiles in Amsterdam for a decade over the fashionable hats and dresses of a preacher's wife (charmingly described in Dexter's Congregationalism of the Last Three Hundred Years). Sanford (op. cit.) has pointed out that the present-day male hair-cut is that of the ridiculous Roundheads, and the equally ridiculous (for the time) male clothing of the Puritans is at least in principle fundamentally the same as that of to-day.

- 14. On this point again see Veblen's *Theory of Business Enterprise*.
- 15. Again and again we come back to this attitude. It explains statements like the following: "Every penny which is paid upon yourselves and children and friends must be done as by God's own appointment and to serve and please Him. Watch narrowly, or else that thievish, carnal self will leave God nothing" (Baxter, *op. cit.*, I, 108). This is decisive; what is expended for personal ends is withdrawn from the service of God's glory.
- 16. Quite rightly it is customary to recall (Dowden, op. cit.) that Cromwell saved Raphael's drawings and Mantegna's Triumph of Cæsar from destruction, while Charles II tried to sell them. Moreover, the society of the Restoration was distinctly cool or even hostile to English national literature. In fact the influence of Versailles was all-powerful at courts everywhere. A detailed analysis of the influence of the unfavourable atmosphere for the spontaneous enjoyment of everyday life on the spirit of the higher types of Puritan, and the men who went through the schooling of Puritanism, is a task which cannot be undertaken within the limits of this sketch. Washington Irving (Bracebridge *Hall*) formulates it in the usual English terms thus: "It [he says political freedom, we should say Puritanism] evinces less play of the fancy, but more power of the imagination." It is only necessary to think of the place of the Scotch in science, literature, and technical invention, as well as in the business life of Great Britain, to be convinced that this remark approaches the truth, even though put somewhat too narrowly. We cannot speak here of its significance for the development of technique and the empirical sciences. The relation itself is always appearing in everyday life. For the Quakers, for instance, the recreations which are permissible (according to Barclay) are: visiting of friends, reading of historical works, mathematical and physical experiments, gardening, discussion of business and other occurrences in the world, etc. The reason is that pointed out above.
- 17. Already very finely analysed in Carl Neumann's *Rembrandt*, which should be compared with the above remarks in general.
- 18. Thus Baxter in the passage cited above, 1, p. 108, and below.

- 19. Compare the well-known description of Colonel Hutchinson (often quoted, for instance, in Sanford, op. cit., p. 57) in the biography written by his widow. After describing all his chivalrous virtues and his cheerful, joyous nature, it goes on: "He was wonderfully neat, cleanly, and genteel in his habit, and had a very good fancy in it; but he left off very early the wearing of anything that was costly." Quite similar is the ideal of the educated and highly civilized Puritan woman who, however, is penurious of two things: (1) time, and (2) expenditure for pomp and pleasure, as drawn in Baxter's funeral oration for Mary Hammer (Works of the Puritan Divines, p. 533).
- 10. I think, among many other examples, especially of a manufacturer unusually successful in his business ventures, and in his later years very wealthy, who, when for the treatment of a troublesome digestive disorder the doctor prescribed a few oysters a day, could only be brought to comply with difficulty. Very considerable gifts for philanthropic purposes which he made during his lifetime and a certain openhandedness showed, on the other hand, that it was simply a survival of that ascetic feeling which looks upon enjoyment of wealth for oneself as morally reprehensible, but has nothing whatever to do with avarice.
- 21. The separation of workshop, office, of business in general and the private dwelling, of firm and name, of business capital and private wealth, the tendency to make of the business a corpus mysticum (at least in the case of corporate property) all lay in this direction. On this, see my Handelsgesellschaften im Mittelalter (Gesammelte Aufsätze zur Sozial- und Wirtschaftsgeschichte,) pp. 312 ff.).
- 22. Sombart in his Kapitalismus (first edition) has already well pointed out this characteristic phenomenon. It must, however, be noted that the accumulation of wealth springs from two quite distinct psychological sources. One reaches into the dimmest antiquity and is expressed in foundations, family fortunes, and trusts, as well as much more purely and clearly in the desire to die weighted down with a great burden of material goods; above all to insure the continuation of a business even at the cost of the personal interests of the majority of one's children. In such cases

- it is, besides the desire to give one's own creation an ideal life beyond one's death, and thus to maintain the splendor familiæ and extend the personality of the founder, a question of, so to speak, fundamentally egocentric motives. That is not the case with that bourgeois motive with which we are here dealing. There the motto of asceticism is "Entsagen sollst du, sollst entsagen" in the positive capitalistic sense of "Erwerben sollst du, sollst erwerben." In its pure and simple non-rationality it is a sort of categorical imperative. Only the glory of God and one's own duty, not human vanity, is the motive for the Puritans; and to-day only the duty to one's calling. If it pleases anyone to illustrate an idea by its extreme consequences, we may recall the theory of certain American millionaires, that their millions should not be left to their children, so that they will not be deprived of the good moral effects of the necessity of working and earning for themselves. To-day that idea is certainly no more than a theoretical soap-bub-
- 23. This is, as must continually be emphasized, the final decisive religious motive (along with the purely ascetic desire to mortify the flesh). It is especially clear in the Ouakers.
- 24. Baxter (Saints' Everlasting Rest, p. 12) repudiates this with precisely the same reasoning as the Jesuits: the body must have what it needs, otherwise one becomes a slave to it.
- 25. This ideal is clearly present, especially for Ouakerism, in the first period of its development, as has already been shown in important points by Weingarten in his Englische Revolutionskirchen. Also Barclay's thorough discussion (op. cit, pp. 519 ff., 533) shows it very clearly. To be avoided are: (1) Worldly vanity; thus all ostentation, frivolity, and use of things having no practical purpose, or which are valuable only for their scarcity (i.e. for vanity's sake). (2) Any unconscientious use of wealth, such as excessive expenditure for not very urgent needs above necessary provision for the real needs of life and for the future. The Quaker was, so to speak, a living law of marginal utility. "Moderate use of the creature" is definitely permissible, but in particular one might pay attention to the quality and durability of materials so long as it did not lead to vanity. On all this compare Morgenblatt für gebildete Leser, 1846, pp.

- 216 ff. Especially on comfort and solidity among the Quakers, compare Schneckenburger, *Vorlesungen*, pp. 96 f.
- 26. Adapted by Weber from Faust, Act I. Goethe there depicts Mephistopheles as "Die Kraft, die stets das Bödse will, und stets das Gute schafft."— Translator's Note.
- 27. It has already been remarked that we cannot here enter into the question of the class relations of these religious movements (see the essays on the Wirtschaftsethik der Weltreligionen). In order to see, however, that for example Baxter, of whom we make so much use in this study, did not see things solely as a bourgeois of his time, it will suffice to recall that even for him in the order of the religious value of callings, after the learned professions comes the husbandman, and only then mariners, clothiers, booksellers, tailors, etc. Also, under mariners (characteristically enough) he probably thinks at least as often of fishermen as of shipowners. In this regard several things in the *Talmud* are in a different class. Compare. for instance, in Wünsche, Babyl. Talmud, II, pp. 20, 21, the sayings of Rabbi Eleasar, which though not unchallenged, all contend in effect that business is better than agriculture. In between see II, 2, p. 68, on the wise investment of capital: one-third in land, onethird in merchandise, and one-third in cash.

For those to whom no causal explanation is adequate without an economic (or materialistic as it is unfortunately still called) interpretation, it may be remarked that I consider the influence of economic development on the fate of religious ideas to be very important and shall later attempt to show how in our case the process of mutual adaptation of the two took place. On the other hand, those religious ideas themselves simply cannot be deduced from economic circumstances. They are in themselves, that is beyond doubt, the most powerful plastic elements of national character, and contain a law of development and a compelling force entirely their own. Moreover, the most important differences, so far as non-religious factors play a part, are, as with Lutheranism and Calvinism, the result of political circumstances, not economic.

28. That is what Eduard Bernstein means to express when he says, in the essay referred to above (pp. 625, 681), "Asceticism is a bour-

geois virtue." His discussion is the first which has suggested these important relationships. But the connection is a much wider one than he suspected. For not only the accumulation of capital, but the ascetic rationalization of the whole of economic life was involved.

For the American Colonies, the difference between the Puritan North, where, on account of the ascetic compulsion to save, capital in search of investment was always available, from the conditions in the South has already been clearly brought out by Doyle.

- 29. Doyle, The English in America, II, chap. 1. The existence of iron-works (1643), weaving for the market (1659), and also the high development of the handicrafts in New England in the first generation after the foundation of the colonies are, from a purely economic viewpoint, astounding. They are in striking contrast to the conditions in the South, as well as the non-Calvinistic Rhode Island with its complete freedom of conscience. There, in spite of the excellent harbour, the report of the Governor and Council of 1686 said: "The great obstruction concerning trade is the want of merchants and men of considerable estates amongst us" (Arnold, *History of the State of Rhode Island*, p. 490). It can in fact hardly be doubted that the compulsion continually to reinvest savings, which the Puritan curtailment of consumption exercised, played a part. In addition there was the part of Church discipline which cannot be discussed here.
- 30. That, however, these circles rapidly diminished in the Netherlands is shown by Busken-Huet's discussion (*op. cit.*, II, chaps. iii and v). Nevertheless, Groen van Prinsterer says (*Handb. der Gesch. van het Vaderland,* third edition, par. 303, note, p. 254), "De Nederlanders verkoopen veel en verbruiken wenig" even of the time after the Peace of Westphalia.
- 31. For England, for instance, a petition of an aristocratic Royalist quoted in Ranke, *Engl. Geschichle*, IV, p. 197) presented after the entry of Charles II into London, advocated a legal prohibition of the acquisition of landed estates by bourgeois capital, which should hereby be forced to find employment in trade. The class of Dutch gents was distin-

guished as an estate from the bourgeois patricians, the cities by the purchase of landed estates. See the complaints, stated by Fruin, Tien jaren uit den tachtig-jarigen oorlog ..., that the regents have become landlords and are no longer merchants. To be sure these circles had never been at bottom strictly Calvinistic. And the notorious scramble for membership in the nobility and titles in large parts of the Dutch middle class in the second half of the seventeenth century in itself shows that at least for this period the contrast between English and Dutch conditions must be accepted with caution, in this case the power of hereditary moneyed property broke through the ascetic spirit.

- 32. Upon the strong movement for bourgeois capital to buy English landed estates followed the great period of prosperity of English agriculture.
- 33. Even down into this century Anglican landlords have often refused to accept Nonconformists as tenants. At the present time the two parties of the Church are of approximately equal numbers, while in earlier times the Nonconformists were always in the minority.
- 34. H. Levy (article in Archiv für Sozialwissenschaft und Sozialpolitik, XLVI, p. 605) rightly notes that according to the native character of the English people, as seen from numerous of its traits, they were, if anything, less disposed to welcome an ascetic ethic and the middle-class virtues than other peoples. A hearty and unrestrained enjoyment of life was, and is, one of their fundamental traits. The power of Puritan asceticism at the time of its predominance is shown most strikingly in the astonishing degree to which this trait of character was brought under discipline among its adherents.
- 35. This contrast recurs continually in Doyle's presentation. In the attitude of the Puritan to everything the religious motive always played an important part (not always, of course, the sole important one). The colony (under Winthrop's leadership) was inclined to permit the settlement of gentlemen in Massachusetts, even an upper house with a hereditary nobility, if only the gentlemen would adhere to the Church. The colony remained closed for the sake of Church discipline. The colonization of New Hampshire

- and Maine was carried out by large Anglican merchants, who laid out large stockraising plantations. Between them and the Puritans there was very little social connection. There were complaints over the strong greed for profits of the New Englanders as early as 1632 (see Weeden's Economic and Social History of New England, I, p. 125).
- 36. That those other elements, which have here not yet been traced to their religious roots, especially the idea that honesty is the best policy (Franklin's discussion of credit), are also of Puritan origin, must be proved in a somewhat different connection.... Here I shall limit myself to repeating the following remark of J. A. Rowntree (Quakerism, Past and Present, pp. 95-6), to which E. Bernstein has called my attention: "Is it merely a coincidence, or is it a consequence, that the lofty profession of spirituality made by the Friends has gone hand in hand with shrewdness and tact in the transaction of mundane affairs? Real piety favours the success of a trader by insuring his integrity and fostering habits of prudence and forethought, important items in obtaining that standing and credit in the commercial world, which are requisites for the steady accumulation of wealth"... "Honest as a Huguenot" was as proverbial in the seventeenth century as the respect for law of the Dutch which Sir W. Temple admired, and, a century later, that of the English as compared with those Continental peoples that had not been through this ethical schooling.
- 37. Well analysed in Bielschowsky's Goethe, II, chap. xviii. For the development of the scientific cosmos Windelband, at the end of his Blütezeit der deutschen Philosophie (Vol. II of the Gesch. d. Neueren Philosophie), has expressed a similar idea.
- 38. Saints' Everlasting Rest, chap. xii.
- 39. "Couldn't the old man be satisfied with his \$75,000 a year and rest? No! The frontage of the store must be widened to 400 feet. Why? That beats everything, he says. In the evening when his wife and daughter read together, he wants to go to bed. Sundays he looks at the clock every five minutes to see when the day will be over-what a futile life!" In these terms the son-in-law (who had emigrated from Germany) of the leading drygoods man of an Ohio city expressed his

- judgment of the latter, a judgment which would undoubtedly have seemed simply incomprehensible to the old man. A symptom of German lack of energy.
- 40. This remark alone (unchanged since his criticism) might have shown Brentano (op. *cit.*) that I have never doubted its independent significance. That humanism was also not pure rationalism has lately again been strongly emphasized by Borinski in the *Abhandl. der Münchener Akad der Wiss.*, 1919.
- 41. The academic oration of v. Below, *Die Ursachen der Reformation* (Freiburg, 1919), is not concerned with this problem, but with that of the Reformation in general, especially Luther. For the question dealt with here, especially the controversies which have grown out of this study, I may refer finally to the work of Hermelink, *Reformation und Gegenreformation*, which, however, is also primarily concerned with other problems.
- 42. For the above sketch has deliberately taken up only the relations in which an influence of religious ideas on the material culture is really beyond doubt. It would have been easy to proceed beyond that to a regular construction which logically deduced everything characteristic of modern culture from Protestant rationalism. But that sort of thing may be left to the type of dilettante who believes in the unity of the group mind and its reducibility to a single formula. Let it be remarked only that the period of capitalistic development lying before that which we have studied was everywhere in part determined by religious influences, both hindering and helping. Of what sort these were belongs in another chapter. Furthermore, whether, of the broad-

- er problems sketched above, one or another can be dealt with in the limits of this Journal [the essay first appeared in the *Archiv für Sozialwissenschaft und Sozialpolitik* Translator's Note] is not certain in view of the problems to which it is devoted. On the other hand, to write heavy tomes, as thick as they would have to be in this case, and dependent on the work of others (theologians and historians), I have no great inclination (I have left these sentences unchanged).
- For the tension between ideals and reality in early capitalistic times before the Reformation, see now Strieder, *Studien zur Geschichte der kapit. Organizationformen*, 1914, Book II. (Also as against the work of Keller, cited above, which was utilized by Sombart.)
- 43. I should have thought that this sentence and the remarks and notes immediately preceding it would have sufficed to prevent any misunderstanding of what this study was meant to accomplish, and I find no occasion for adding anything. Instead of following up with an immediate continuation in terms of the above programme, I have, partly for fortuitous reasons, especially the appearance of Troeltsch's Die Soziallehren der christlichen Kirchen und Gruppen, which disposed of many things I should have had to investigate in a way in which I, not being a theologian, could not have done it; but partly also in order to correct the isolation of this study and to place it in relation to the whole of cultural development determined, first, to write down some comparative studies of the general historical relationship of religion and society....